QCTO: PLAN FOR REVOKING DELEGATED QA FUNCTIONS

Revision: 03

31 July 2019

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1. Background (QCTO)

QCTO est. in 2010

National Gazette No. 33059, Vol. 538 by the Minister of Higher Education and Training.

QCTO is established through the SDA 97 of 1998.

QCTO Functions also outlined in the NQF Act 67 of 2008 (Chapter 5, section 26 & 27).

SDA Provision for QCTO to delegate its functions (SDA, Sect 26 I).

2. Background (Pre QCTO)

SAQA Act 58 of 1995 (Repealed)

SAQA had **Operational Responsibility** to **Quality Assure Historically Registered Qualifications** on the NQF.

ETQA Regulations R1127
Education & Training Quality Assurance Bodies (33 ETQAs).

QCTO est. in 2010

2012

Regulations ended. As from April 2010, responsibilities for **QA & Qualification Development moved** from **SAQA to QCTO**
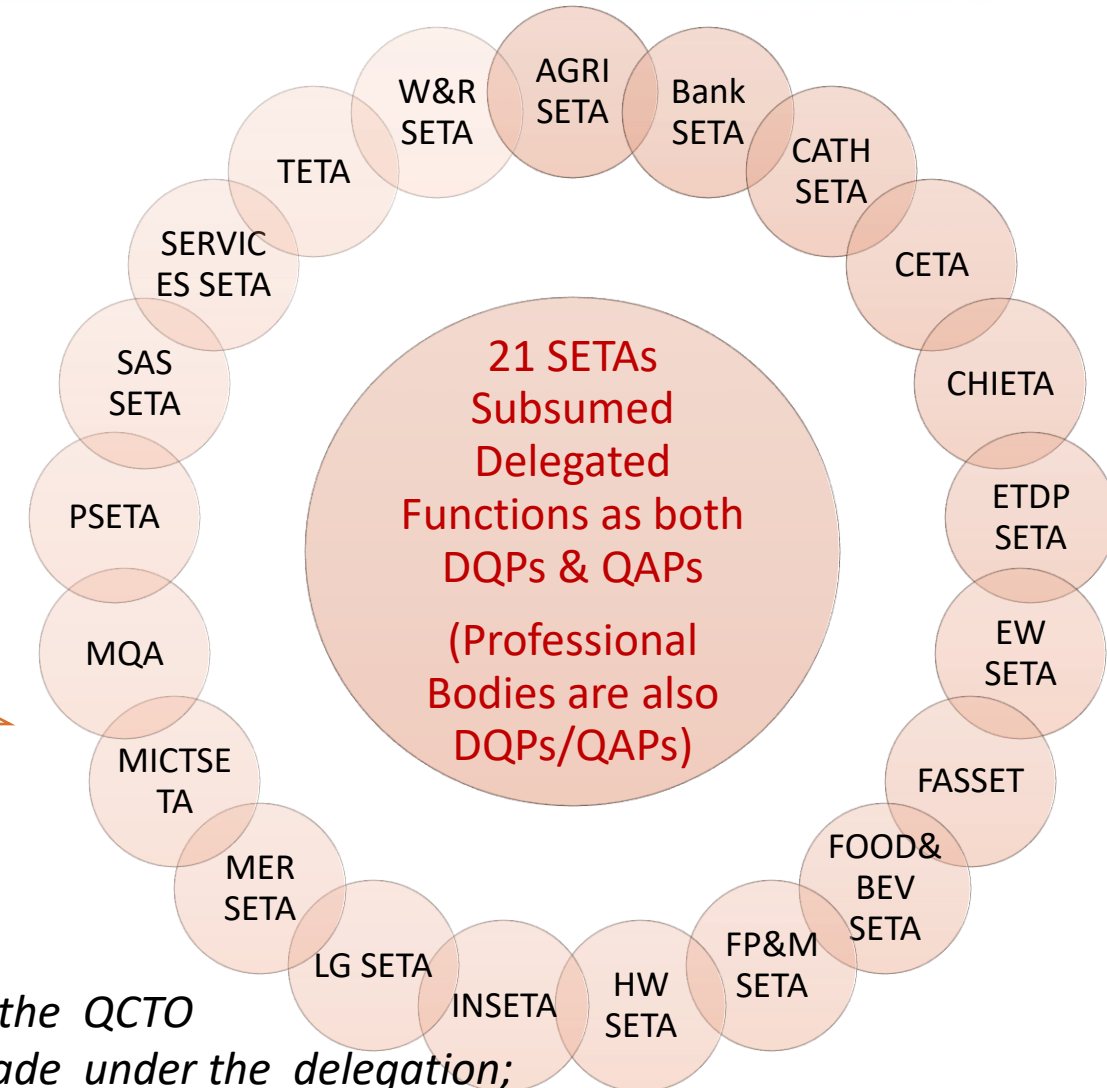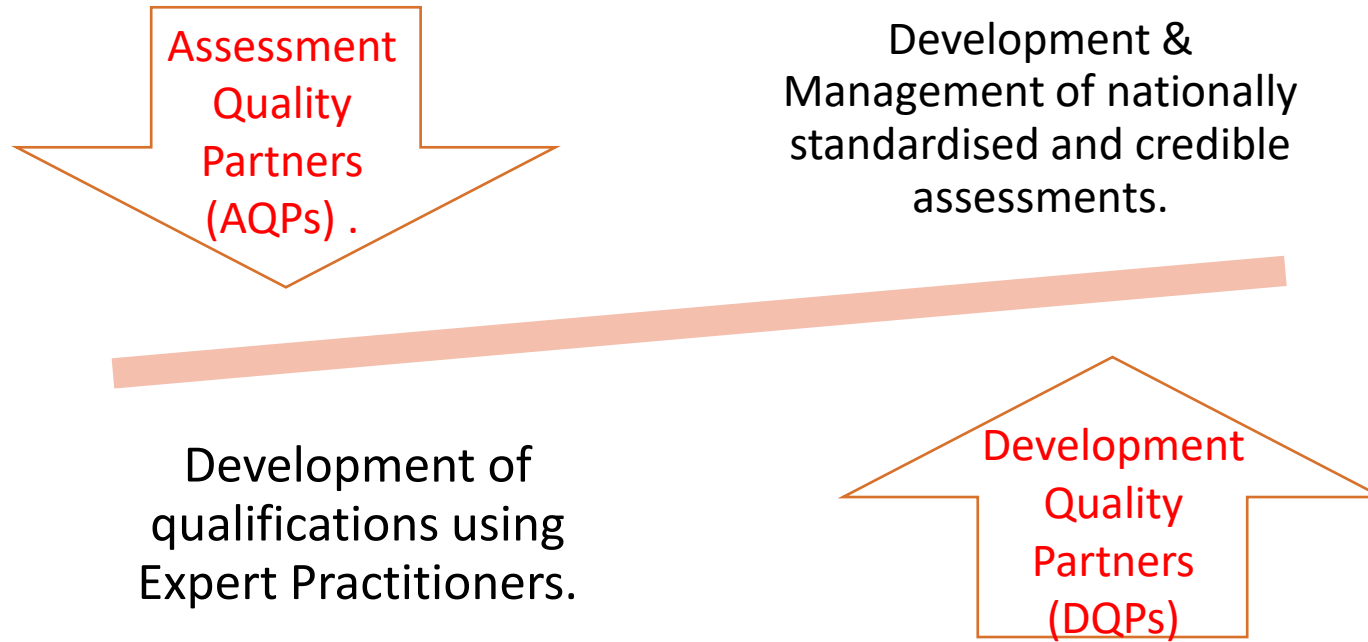
QCTO applied SDA 26I to delegate QA & QD functions to SETAs & Professional Bodies.

SAQA Accredited ETQAs
21 SETAs
12 Professional Bodies.

3. Adopted Delegation Model

QCTO **adopted** the SDA 26 I (1) Delegation Model to 21 SETAs & 12 Professional Bodies.

Assessment Quality Partners (AQPs) .

Development & Management of nationally standardised and credible assessments.

Development of qualifications using Expert Practitioners.

Development Quality Partners (DQPs)

21 SETAs Subsumed Delegated Functions as both DQPs & QAPs

(Professional Bodies are also DQPs/QAPs)

W&R SETA, AGRI SETA, Bank SETA, CATH SETA, TETA, CETA, SERVICES SETA, CHIETA, SAS SETA, ETDP SETA, PSETA, EW SETA, MQA, FASSET, MICTSETA, FOOD& BEV SETA, MER SETA, FP&M SETA, LG SETA, HW SETA, INSETA

26 I (2) A delegation under subsection (1)—
*(a) **does not divest** the QCTO of the function delegated and the QCTO may **at any time review, amend** or **set aside** any decision made under the delegation;*

4. Necessity to Revoke Delegated Functions

- SDA 26 I - mandate requires QCTO to take control of the delegated functions.

- QCTO delegated functions: *(26 I b) may be performed by QCTO, (26 I C) may be revoked by QCTO at any time.*

- Ministerial Guidelines of 2014/15 "Ongoing support to the QCTO to become fully operational"

- White Paper on Post School Education and Training (WPPSET) 2013: 71.

WPPSET 2013: 71.

The intention was that the QCTO would take on much of the QA work previously carried out by the SETAs

This function has remained largely the same as before, delegated to the SETAs by the QCTO, due to funding constraints.

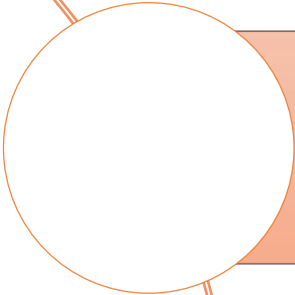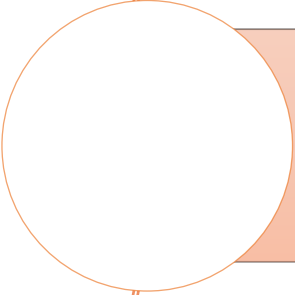QCTO has been positioning itself since 2015, to be able to exercise SDA 26 I (c) and revoke these functions.

And to reduce the QA work carried out by the SETAs.

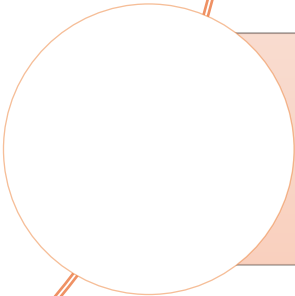# 4. Necessity to Revoke Delegated Functions (Cont.)

Extreme diversity of 21 SETAs, Procedures, Processes, Forms, Certificates, Database, Client Service, Reporting etc.

Bureaucratic Complexities, Inaccurate Information to QCTO, Unclear Roles, Loopholes, Proliferation of Qualifications.

SETAs Non-compliance in terms of the (SDA 26 I (1)) Delegated Functions, the lack of responsiveness and appropriate action.

5. Critical Events from 2008 to date

2008
- Promulgation of the SDA.
- Promulgation of the NQA.

2009
- Appointment of Minister Nzimande.

2010
- Establishment of the QCTO, in April.

2012
- Delegation of Quality Assurance functions to SETAs according to SDA 26 I (1), in October.

2013
- Publication of White Paper on Post School Education and Training.

2014
- Ministerial Guidelines published.

2015
- Consultation meeting with SETA CEO's, in September.
- Submit Business Case to MHET Nzimande.

5. Critical Events from 2008 to date (cont.)

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Quality Council for Trades & Occupations

2016
- Consultation meeting with SETA CEO's, in May.
- Appointment of Minister Mkhize, October.
- Business Case submitted to MHET Mkhize, November.
- Consultation meeting with SETA CEO's, December.

No strategic decisions could be taken during the 6 consultation meetings, as these meetings were mainly attended by SETA operational staff.

2017
- Implementation of the plan to revoke functions (Vision 2020) Approved by Council, June.
- Consultation meeting with SETA CEO's, June.
- Consultation meeting with SETA CEO's, November.
- Business Case process delayed pending the finalisation of NSDP (finalised in March 2019).

The meeting of 21 November 2017 was coordinated by the DHET in a bid to ensure that the nominated CEOs attend.

2018
- Appointment of Minister Pandor, February.
- NQF Forum with Minister Pandor, June.
- Consultation meeting with MHET Pandor, September.
- Consultation meeting with SETA CEO's, September.
- Business Case re-submitted to MHET Pandor, November.
- SETAs requested by the MHET to comment on the Revoking Plan, December.

In an attempt to get traction on this process, QCTO invited SETA CEOs and QA Managers to a meeting on 27 Sept 2018, Despite the confirmations (with 3 apologies), only a single Acting CEO arrived for the meeting.

2019
- SETAs submit Revoking Plan comments to MHET, January.
- QCTO considered SETA comments for inclusion in the Business Case.

The SETA delegation requested additional time from the MHEST to discuss (needed to consult more broadly before commenting or committing to the plan).

5. Critical Events from 2008 to date (Cont.)

March
- NSDP Gazetted.

June
- Meeting with DDG: Skills Branch on QCTO revoking Plan - Postponed.
- Meeting with SETA CEOs-Postponed.
- Submit revised revoking Plan to SETAs & MHET Pandor - Postponed.

9 July
- Meeting with DDG: Skills Branch on QCTO revoking Plan.

31 July
- Meeting SETA CEOs.

6. Comments from SETAs & QCTO Responses.

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2019 Jan

- SETAs submit comments on the QCTO revoking Plan, via the MHET.
- Business case delayed, need for further consultation with SETAs - April.

Comment	Response
1. SETAs require a proper risk assessment strategy from the QCTO.	A risk management strategy and programme per SETA will be developed and all parties concerned will be involved to ensure that proper risk mitigation strategies are implemented.
2. Unrealistic time frame for QCTO to implement the plan.	Negotiations have started with some SETAs since 2017, the QCTO plan is for a progressive implementation in accordance to the NSDP, to revoke the delegated quality assurance functions in partnership with SETAs.
3. Lack of QCTO communication with SETAs and affected stakeholders.	Quarterly as well as operational meetings between stakeholders (SETAs, Professional Bodies, SDPs) will be set up to ensure progress is monitored and reported to the MHEST.
4 Lack of QCTO infrastructure, footprint, system, human resources and finances.	Through collaboration and partnership with SETAs, DHET and NAMB, the QCTO will progressively expand its footprint and capacity to cater for its full Quality Assurance mandate.
5. SETAs require a comprehensive implementation plan from the QCTO (roles of the SETAs QA functions during and after revoking).	QCTO has developed an implementation Framework in preparation for negotiating the final plan with SETAs. A separate SLA per SETA will be entered into between QCTO and a specific SETA in line with the NSDP.
6. Staff Issues regarding the current SETA QA staff.	All parties involved will collaborate and do their best to avoid job loss for SETA QA staff. The work place approval will remain with SETAs as they are close to the employers. This will require QA staff as SMEs are targeted for workplace.

7. Implementation Plan.

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The strategy focusses on the revoking of five key functions below:

1.Quality Assurance of Historically registered qualifications and skills programmes.

2. Development of Occupational Qualifications and skills programmes.

3. Accreditation of Skills Development Providers (SDPs) and Assessment Centres.

4. Quality Assurance of Assessments.

5. Certification of qualified learners.

1. Historically registered qualifications decreased through realignment into Occupational Qualifications

2. Implementation (insertion) of a FISA to ensure a national standard

3. Certificates issued by SETAs upon approval by the QCTO

1. Occupational Qualifications quality assured by QCTO

2. SDPs/ACs accredited by QCTO

3. FISA quality assured by QCTO

4. Certificates for historically registered qualifications issued by the QCTO.

8. Overall Revoking "Qualification" Implementation Plan

No	SETA	Certificate No	Qualification	
			Start date	**End date**
1	FASSET	01/FASSET/1/04/20	01-Jul-19	01-Apr-20
2	BANK SETA	02 /BANKING /1 /04/20	01-Jul-19	01-Apr-20
3	CHIETA	03 /CHIETA/1 /04/20	01-Jul-20	01-Apr-21
4	FP&M SETA	04 /FP &MSETA/04 /20	01-Jul-19	01-Apr-20
5	CETA	05 /CETA/1 /04/20	01-Jul-20	01-Apr-21
7	ETDPSETA	07 /ETDPSETA/1 /04/20	01-Jul-20	01-Apr-21
8	EW SETA	08 /EWSETA/1 /04/20	01-Jul-20	01-Apr-21
9	FOOD&BEV SETA	09 /FOODBEV /1/04/20	01-Jul-19	01-Apr-20
11	HW SETA	11 /HWSETA/1 /04/20	01-Jul-19	01-Apr-20
12	MICTSETA	12 /MICTSETA 1/04/20	01-Jul-19	01-Apr-21
13	INSETA	13 /INSETA/1 /04/20	01-Jul-19	01-Apr-20
14	LG SETA	14 /LGSETA/1 /04/20	01-Jul-19	01-Apr-20
16	MQA	16/MQA/1/04/20	01-Jul-19	01-Apr-20
17	MERSETA	17 /MERSETA /1/04/20	01-Jul-20	01-Apr-21
19	SASSETA	19 /SASSETA/1 /07/20	01-Jul-19	01-Apr-20
20	AGRISETA	20 /AgriSETA/1 /07/20	01-Jul-19	01-Apr-20
21	PSETA	21 /PSETA/1 /04/20	01-Jul-19	01-Apr-20
23	SERVICES SETA	23 /SERVICESETA/1 /04/20	01-Jul-19	01-Apr-20
25	CATHSETA	25 /CATHSSETA/1 /04/20	01-Jul-20	01-Apr-21
26	TETA	26/TETA/1/04/20	01-Jul-19	01-Apr-20
27	W&R SETA	27/W &RSETA/1 /04/20	01-Jul-19	01-Apr-20

- Objective is to realign all HRQ to OQ.
- Realignment confirmation obtained from some SETAs in June 2019.
- No more qualifications developed exclusively by SETAs (1 April 2020).
- SETAs will be consulted in the development & realignment process of Occupational Qualifications.
- Final dates to be negotiated in the SLA per SETA.

8. Overall Revoking Implementation "Accreditation" Plan (Cont')

No	SETA	Certificate No	Accreditation	
			Start date	End date
1	FASSET	01/FASSET/1/04/20	01-Jul-19	01-Apr-20
2	BANK SETA	02 /BANKING /1 /04/20	01-Jul-19	01-Apr-20
3	CHIETA	03 /CHIETA/1 /04/20	01-Jul-19	01-Apr-20
4	FP&M SETA	04 /FP &MSETA/04 /20	01-Jul-19	01-Apr-20
5	CETA	05 /CETA/1 /04/20	01-Jul-19	01-Apr-20
7	ETDPSETA	07 /ETDPSETA/1 /04/20	01-Jul-19	01-Apr-20
8	EW SETA	08 /EWSETA/1 /04/20	01-Jul-19	01-Apr-20
9	FOOD&BEV SETA	09 /FOODBEV /1/04/20	01-Jul-19	01-Apr-20
11	HW SETA	11 /HWSETA/1 /04/20	01-Jul-19	01-Apr-20
12	MICTSETA	12 /MICTSETA 1/04/20	01-Jul-19	01-Apr-20
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19	SASSETA	19 /SASSETA/1 /07/20	01-Jul-19	01-Apr-20
20	AGRISETA	20 /AgriSETA/1 /07/20	01-Jul-19	01-Apr-20
21	PSETA	21 /PSETA/1 /04/20	01-Jul-19	01-Apr-20
23	SERVICES SETA	23 /SERVICESETA/1 /04/20	01-Jul-19	01-Apr-20
25	CATHSETA	25 /CATHSSETA/1 /04/20	01-Jul-19	01-Apr-20
26	TETA	26/TETA/1/04/20	01-Jul-19	01-Apr-20
27	W&R SETA	27/W &RSETA/1 /04/20	01-Jul-19	01-Apr-20

- Circular 1 of 2017 implemented.
- QCTO has issued a Letter of Intent Template for use by SDPs
- SETAs will recommend accreditation of SDPs for HRQ to QCTO (Interim).
- QCTO will sample recommendations for accreditation.
- QCTO will issue all accreditation letters.
- QCTO fully deals with accreditation of all Occupational Qualifications.
- Final dates to be negotiated in the SLA per SETA.

8. Overall Revoking Implementation "Assessment" Plan (Cont')

No	SETA	Certificate No	Assessment (FISA)	
			Start date	End date
1	FASSET	01/FASSET/1/04/20	01-Jan-20	01-Jun-23
2	BANK SETA	02 /BANKING /1 /04/20	01-Jan-20	01-Jun-23
3	CHIETA	03 /CHIETA/1 /04/20	01-Jan-20	01-Jun-23
4	FP&M SETA	04 /FP &MSETA/04 /20	01-Jan-20	01-Jun-23
5	CETA	05 /CETA/1 /04/20	01-Jan-20	01-Jun-23
7	ETDPSETA	07 /ETDPSETA/1 /04/20	01-Jan-20	01-Jun-23
8	EW SETA	08 /EWSETA/1 /04/20	01-Jan-20	01-Jun-23
9	FOOD&BEV SETA	09 /FOODBEV /1/04/20	01-Jan-20	01-Jun-23
11	HW SETA	11 /HWSETA/1 /04/20	01-Jan-20	01-Jun-23
12	MICTSETA	12 /MICTSETA 1/04/20	01-Jan-20	01-Jun-23
13	INSETA	13 /INSETA/1 /04/20	01-Jan-20	01-Jun-23
14	LG SETA	14 /LGSETA/1 /04/20	01-Jan-20	01-Jun-23
16	MQA	16/MQA/1/04/20	01-Jan-20	01-Jun-23
17	MERSETA	17 /MERSETA /1/04/20	01-Jan-20	01-Jun-23
19	SASSETA	19 /SASSETA/1 /07/20	01-Jan-20	01-Jun-23
20	AGRISETA	20 /AgriSETA/1 /07/20	01-Jan-20	01-Jun-23
21	PSETA	21 /PSETA/1 /04/20	01-Jan-20	01-Jun-23
23	SERVICES SETA	23 /SERVICESETA/1 /04/20	01-Jan-20	01-Jun-23
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26	TETA	26/TETA/1/04/20	01-Jan-20	01-Jun-23
27	W&R SETA	27/W &RSETA/1 /04/20	01-Jan-20	01-Jun-23

- White Paper directive for a national assessment.
- FISA is an interim standard for assessment of HRQ (Full).
- Task Team already set up with SETAs to discuss implementation.
- QCTO to negotiate common Frameworks & Templates with SETAs.
- FISA will be discontinued when HRQ are realigned to Occupational Qualification.
- Standardised of Trade Tests to be finalised between NAMB and QCTO.
- Final dates to be negotiated in the SLA per SETA.

8. Overall Revoking Implementation "SDP-QA" Plan (Cont')

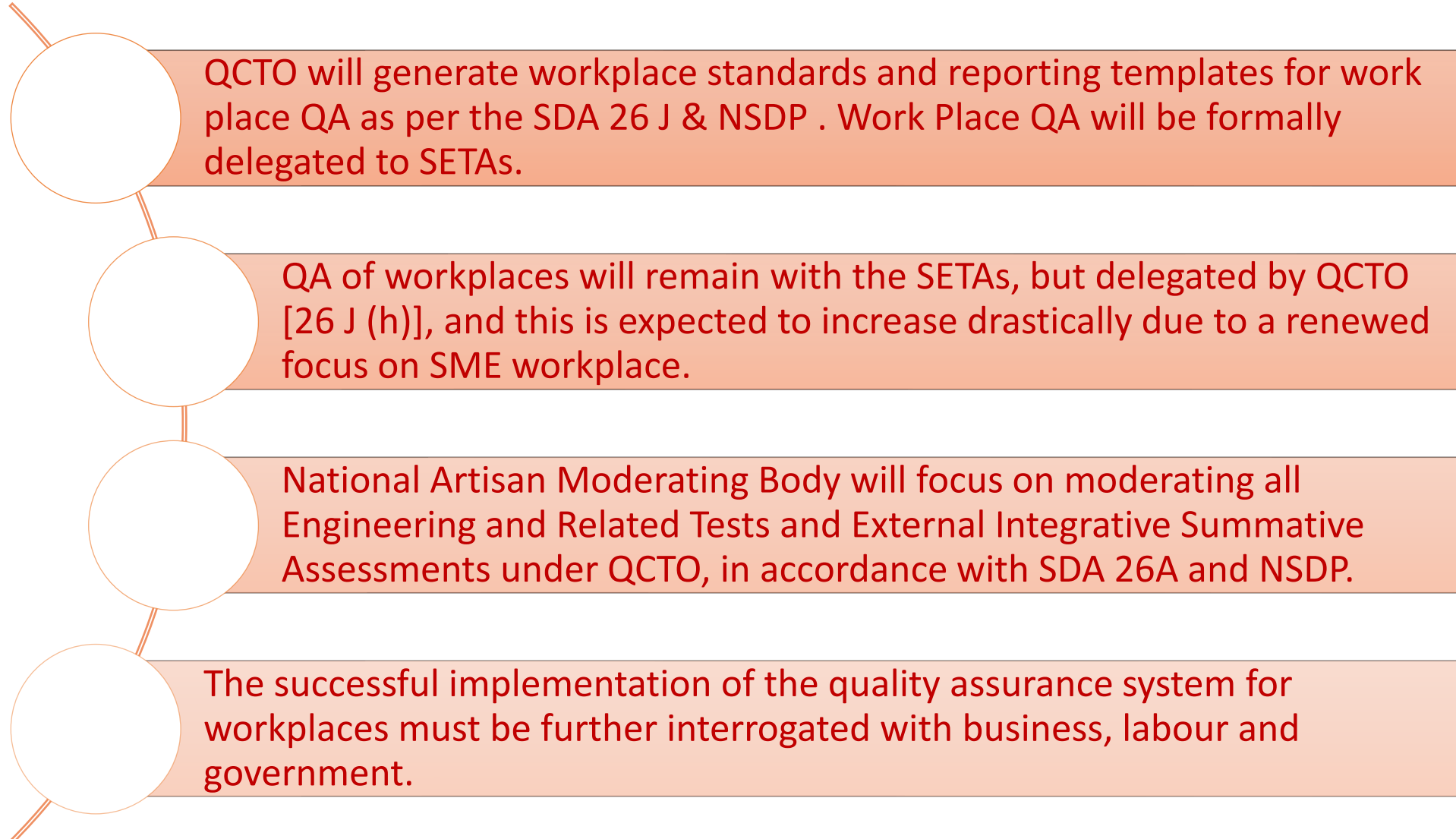| No	SETA	Certificate No	SDP-QA (Monitoring, Start date	End date
1	FASSET	01/FASSET/1/04/20	01-Sep-17	30-Jun-27
2	BANK SETA	02 /BANKING /1 /04/20	01-Sep-17	30-Jun-27
3	CHIETA	03 /CHIETA/1 /04/20	01-Sep-17	30-Jun-27
4	FP&M SETA	04 /FP &MSETA/04 /20	01-Sep-17	30-Jun-27
5	CETA	05 /CETA/1 /04/20	01-Sep-17	30-Jun-27
7	ETDPSETA	07 /ETDPSETA/1 /04/20	01-Sep-17	30-Jun-27
8	EW SETA	08 /EWSETA/1 /04/20	01-Sep-17	30-Jun-27
9	FOOD&BEV SETA	09 /FOODBEV /1/04/20	01-Sep-17	30-Jun-27
11	HW SETA	11 /HWSETA/1 /04/20	01-Sep-17	30-Jun-27
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13	INSETA	13 /INSETA/1 /04/20	01-Sep-17	30-Jun-27
14	LG SETA	14 /LGSETA/1 /04/20	01-Sep-17	30-Jun-27
16	MQA	16/MQA/1/04/20	01-Sep-17	30-Jun-27
17	MERSETA	17 /MERSETA /1/04/20	01-Sep-17	30-Jun-27
19	SASSETA	19 /SASSETA/1 /07/20	01-Sep-17	30-Jun-27
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26	TETA	26/TETA/1/04/20	01-Sep-17	30-Jun-27
27	W&R SETA	27/W &RSETA/1 /04/20	01-Sep-17	30-Jun-27

- QCTO to Quality Assure all HRQ until phased out.
- QCTO will issue a standard template for reporting.
- SETAs to submit standard reports to QCTO.
- QCTO to sample processes and QA.

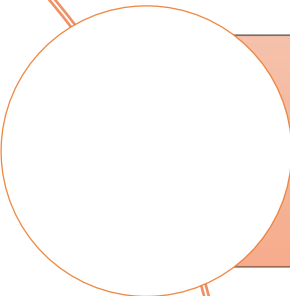# 8. Overall Revoking Implementation "Certificates" Plan (Cont')

No	SETA	Certificate No	Certification	
			Start date	End date
1	FASSET	01/FASSET/1/04/20	01-Jan-20	01-Nov-27
2	BANK SETA	02 /BANKING /1 /04/20	01-Jan-20	01-Nov-27
3	CHIETA	03 /CHIETA/1 /04/20	01-Jan-20	01-Nov-27
4	FP&M SETA	04 /FP &MSETA/04 /20	01-Jan-20	01-Nov-27
5	CETA	05 /CETA/1 /04/20	01-Jan-20	01-Nov-27
7	ETDPSETA	07 /ETDPSETA/1 /04/20	01-Jan-20	01-Nov-27
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13	INSETA	13 /INSETA/1 /04/20	01-Jan-20	01-Nov-27
14	LG SETA	14 /LGSETA/1 /04/20	01-Jan-20	01-Nov-27
16	MQA	16/MQA/1/04/20	01-Jan-20	01-Nov-27
17	MERSETA	17 /MERSETA /1/04/20	01-Jan-20	01-Nov-27
19	SASSETA	19 /SASSETA/1 /07/20	01-Jan-20	01-Nov-27
20	AGRISETA	20 /AgriSETA/1 /07/20	01-Jan-20	01-Nov-27
21	PSETA	21 /PSETA/1 /04/20	01-Jan-20	01-Nov-27
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26	TETA	26/TETA/1/04/20	01-Jan-20	01-Nov-27
27	W&R SETA	27/W &RSETA/1 /04/20	01-Jan-20	01-Nov-27

- SETAs will continue to issue certificates on approval by QCTO starting from 01/01/2020.
- By 2021 all SETAs shall submit results to QCTO for approval.
- SETAs to maintain learner enrolments until last achievement date of the qualification.
- QCTO to Quality Assure issuing of certification by SETAs.
- All historical data to be submitted by SETAs to the NLRD.
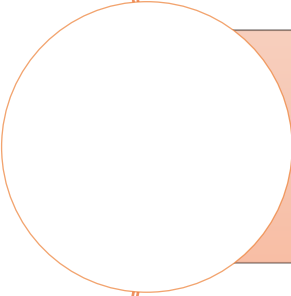- QCTO to issue Occupational Qualifications Certificates.

9. Workplace Quality Assurance

QCTO will generate workplace standards and reporting templates for work place QA as per the SDA 26 J & NSDP . Work Place QA will be formally delegated to SETAs.

QA of workplaces will remain with the SETAs, but delegated by QCTO [26 J (h)], and this is expected to increase drastically due to a renewed focus on SME workplace.

National Artisan Moderating Body will focus on moderating all Engineering and Related Tests and External Integrative Summative Assessments under QCTO, in accordance with SDA 26A and NSDP.

The successful implementation of the quality assurance system for workplaces must be further interrogated with business, labour and government.

10. Next Steps

The QCTO will engage with individual SETAs to finalise SLAs to facilitate progressive revoking of QA functions to the QCTO.

QCTO has already implemented plans across the SETAs regarding the revoking of some QA functions like accreditation of SDPs, realignment of qualifications as well as the deregistration of qualifications with no learner uptake, to name a few.

Formalising arrangements through Service Level Agreements (SLAs) are in advance stages with some SETAs, in a bid to ensure proper management and implementation of the revoking processes going forward.

11. Questions and Answers

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Thank you